

Audit and Standards Work Programme 2019-20

Date	Item	Author
19 December 2019	PFI Objection Report	Dave Phillips (Head of Strategic Finance)
	Audit Certificate and Annual Audit Letter 2016/17	Dave Phillips (Head of Strategic Finance)
	Audit Certificate and Annual Audit Letter 2017/18	Dave Phillips (Head of Strategic Finance)
	Update on the Mid-Year Review of the Internal Audit Plan	Kayleigh Inman (Senior Finance Manager)
	Annual Ombudsman Report -Update	Ben Marston (Service Delivery Manager)
	Information Management Annual Report	Mark Jones (Senior Information Management Officer)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
23 January 2020	Progress on High Opinion Audit Reports	Kayleigh Inman (Senior Finance Manager)
	Formal Response to Audit (ISA 260) Recommendations	Dave Phillips (Head of Strategic Finance)
	AGS Half Yearly Update	Gillian Duckworth (Director of Legal and Governance)
	Review of Member's Code of Conduct	Gillian Duckworth (Director of Legal and Governance)
	Review of Standards Complaints Procedure	Gillian Duckworth (Director of Legal and Governance)
	Annual Standards report	Gillian Duckworth (Director of Legal and Governance)
	Universal Credit	Tim Hardie (Director of Finance and Commercial Services)

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	Strategic Risk Management	Helen Molteno (Corporate Risk Manager)
	Update on the Insourcing of ICT	Mike Weston (Assistant Director of ICT Service Delivery)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
20 February 2020	(Additional meeting if required)	
19 March 2020	(Additional meeting if required)	
16 April 2020	Internal Audit Plan 2019/20	Kayleigh Inman (Senior Finance Manager)
	Compliance with International Auditing Standards	Dave Phillips (Head of Strategic Finance)
	Certification of Claims and Returns Annual Report 2017/18	Ernst & Young (External Auditor)
	External Audit Plan 2019/20	Ernst & Young (External Auditor)
	Annual Audit Fee Letter 2019/20	Ernst & Young (External Auditor)
	Whistleblowing Policy Review and Update	Gillian Duckworth (Director of Legal and Governance)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
June/July 2020	Audit Training	External Facilitator (Gary Bandy)
11 June 2020	Summary of Statement of Accounts	Dave Phillips (Head of Strategic Finance)

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	Internal Audit Annual Fraud Report	Kayleigh Inman (Senior Finance Manager)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)
23 July 2020	Report to Those Charged with Governance (ISA 260)	Ernst & Young (External Auditor)
	Statement of Accounts	Dave Phillips (Head of Strategic Finance)
	Annual Governance Statement	Gillian Duckworth (Director of Legal and Governance)
	Annual Internal Audit Opinion Report	Kayleigh Inman (Senior Finance Manager)
	Information Management Annual Report	Mark Jones (Senior Information Management Officer)
	Progress on High Opinion Audit Reports	Kayleigh Inman (Senior Finance Manager)
	Update on Standards Complaints	Gillian Duckworth (Director of Legal and Governance)
	Strategic Risk Management	Helen Molteno (Corporate Risk Manager)
	Work Programme	Gillian Duckworth (Director of Legal and Governance)

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